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PTO/S8/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Petont and Tredomark Office; U.S. DEPARTMENT OF COMMERCE Under the Panerwork Reduction Act of 1995, no persons lection of information unless it displays a valid OMB control number required to respond Application Number 09/871.821 Filing Dale TRANSMITTAL June 4, 2001 First Named Inventor Imants R. LAUKS **FORM** Art Unit 1743 Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number **PAT 485-2** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brisf, Reply Brief) **Amendment/Reply** Petilion to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Slatus Letter Affidavita/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): 1. Form PTO-1449 Request for Refund Express Abandonment Request CD. Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Attention: Mail Stop Amendment Reply to Missing Parts/ Incomplete Application Fax No. 571-273-8300 Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Borden Ladper Gervais LLP Signature Printed name Dilip C. Andrage Dale Reg. No. 53,942 August 17, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mall In an epvelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Skanature August 17, 2005 Date Dilip C. Andrade Typed or printed name

This collection of information is required by 30 CFR 1,5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.

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### AUG 1 7 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LAUKS, Imants, R.

Serial No.:

09/871,821 June 4, 2001

Filed: Title:

INTEGRATED ELECTROKINETIC DEVICES AND METHODS OF

MANUFACTURE

Group:

1743

Examiner:

Attorney Ref.:

PAT 485-2 US

August 17, 2005

Commissioner for Patents
United States Patent and Trademark Office
Customer Service Window
Mail Stop Amendment
Randolph Building
401 Dulany Street
Alexandria, Virginia
22314
U.S.A.

Dear Sir:

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

### 1. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449, attached hereto.

#### II. COPIES

(check at least one box)

X

a. No U.S. patent or published application copies are enclosed.
Where applicable, submitted herewith is a legible copy of (i) each Foreign patent or application; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

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b. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search
 Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

# III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

- a. <u>DOCUMENTS IN THE ENGLISH LANGUAGE</u>

  The attached patents, publications, or other information in the English language do not require a statement of relevancy.
- DOCUMENTS NOT IN THE ENGLISH LANGUAGE

  A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:
- c. <u>ENGLISH LANGUAGE SEARCH REPORT</u>

  An English language version of the search report or action that indicates the degree of relevance found by the foreign Patent Office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A) (3).
- The following additional information is provided for the Examiner's consideration.

# IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97 (b): (check one box)

- a. Within three months of the filing date of a national application (37 C.F.R. § 1.97 (b) (1)). No fee or statement is required. (This section is not to be used with RCE's AND CPA's.)
- b. Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97 (b) (2)).
   No fee or statement is required.

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- c. Concurrently with the filing of a Continued Prosecution Application under 37 C.F.R. § 1.53 (d) or concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97 (b) (4)). No fee or statement is required.
- d. Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97 (b) (3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97 (e) below, or, if no statement has been made, charge our deposit account in the amount of \$180.00 as required by 37 C.F.R. § 1.17 (p).
- e. Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97 (b) (4)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97 (e) below, or, if no statement has been made, charge our deposit account in the amount of \$180.00 as required by 37 C.F.R. § 1.17 (p).

## V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97 (c): (check one box)

- a. No statement; therefore, a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17 (p).
- b. See the statement below. No fee is required.

## VI. STATEMENT UNDER 37 C.F.R. § 1.97 (e) (check only one box)

The undersigned hereby states that

- a. Each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more thirty (30) days prior to the filing of this IDS; or
- b. No item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any

United States Patent Application Serial No. 09/871,821 Page 3 of 5 PAT 485-2 individual designated in 37 C.F.R. § 1.56 (c) more than 30 days prior to the filing of the IDS. The items were cited during the prosecution of the Continuation-In-Part Application No. 10/307,468 in an Office Action dated July 27, 2005.

c. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in the 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this statement.

### VII. PAYMENT OF FEES

(check one box)

Please charge Deposit Account No. 501593 in the amount required by 37
 C.F.R. § 1.17 (p) for the above-indicated fee. A duplicate copy of this paper is attached.

No fee is required.

United States Patent Application Serial No. 09/871,821 Page 4 of 5 PAT 485-2 If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 501593.

If the preceding amount is incorrect, any deficiency or overpayment should also be charged or credited to Deposit Account No. 501593, in the name of Borden Ladner Gervais LLP.

Respectfully submitted,

Imants R. LAUKS

By: Dilip 2. Andrade Registration No. 53,942

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DCA/MWB/mwb Encls.

☑ PTO-1449

Form P	TO-1449			Complete if Known	
				Application No.:	09/871,821
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT			TION	Filing Date:	June 4, 2001
				First Named Inventor:	Imants R. LAUKS
				Group Art Unit:	1743
				Examiner Name:	
Sheet	1	of	1	Attorney Docket No.:	PAT 485-2

### **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document No.	Date of Publication mm-dd- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,451,191	09-17-2002	Bentsen et al.	
		6,488,828	12-03-2002	Bhullar et al.	

### U.S. PUBLISHED APPLICATION DOCUMENTS

Examiner Initials	Cite No.	Document No.	Date of Publication mm-dd- yyyy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

### **FOREIGN PATENT DOCUMENTS**

Examine r Initials	Cite No.	Patent Document No.	Date of Publication mm-dd- yyyy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	<b>T</b> √ 3061311000.16	Check if English

### **OTHER DOCUMENTS**

Examiner Signature:	Date Considered:
	dered, whether or not citation is in conformance with if in conformance and not considered. Include copy